

Form ADV Part 2A: Firm Brochure

ATI WEALTH PARTNERS, LLC

18 Market St. #3
Portsmouth, NH 03801

www.atiwealthpartners.com

312.967.4626

As of 3/2/2021

Item 1. Cover Page

This brochure provides information about the qualifications and business practices of ATI Wealth Partners, LLC (“ATI Wealth Partners” or “ATI Wealth”). If you have any questions about the contents of this brochure, please contact us at 312.967.4626.

The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. Additional information about ATI Wealth Partners is available on the SEC’s website at www.adviserinfo.sec.gov. The CRD number for ATI Wealth Partners is 282924.

ATI Wealth Partners is a registered investment advisor. Registration with the SEC and/or state securities authorities does not imply a certain level of skill or training.

Item 2. Material Changes

There have been no material changes at this time.

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Item 4. Advisory Business

Firm Description

ATI Wealth Partners, LLC was founded in the State of Georgia in 2016 to provide accessible, personalized financial planning and investment management services primarily to individuals, as well as trusts, estates, and small businesses. In 2020, ATI Wealth Partners moved from Georgia to New Hampshire. Our firm may register with or meet exemption requirements in other jurisdictions where we conduct investment advisory business.

ATI Wealth is a fee-only financial planning and investment management firm. We do not sell insurance or annuity products, nor do we invest in any security that pays a commission, trail, or otherwise provides monetary compensation to the firm for selling it.

Ownership structure

ATI Wealth is wholly owned by Russell Robertson.

Advisory Services

We offer both financial planning and investment management services.

Planning services are tailored to a client's individual situation, but will generally cover one or more of the following areas:

- Budgeting, cash flow, and debt management
- Risk and insurance management
- Education planning
- Retirement planning
- Investment management
- Tax planning
- Estate planning

Comprehensive financial planning services include all of the above subjects and any situations unique to the client. The financial plan may include a statement of net worth, a budget, detailed investment advice, and recommendations for retirement, college saving, tax, and estate planning strategies. Financial planning services are not considered ongoing services, so it is up to the client to re-engage with ATI Wealth should their situation change or should they want additional planning services. The client is free to accept, reject, or modify any recommendation made by ATI Wealth Partners.

The planning process starts with an initial complimentary consultation. Should the client choose to engage ATI Wealth Partners for comprehensive planning services, a more in-depth meeting will occur during which time all the data will be gathered to determine objectives, needs, goals, and risk tolerance of the client. ATI Wealth Partners then prepares and presents a preliminary financial plan tailored to the individual client. Any concerns, modifications, or other feedback suggested by the client is taken into consideration in preparing the final financial plan, which will present concrete action steps for implementing the plan.

Limited-scope (not comprehensive) planning is available at the request of and subject to the needs of the client. As examples, this could include a portfolio suitability review, insurance coverage analysis, or preparation of a budget to address paying off student loans and/or high interest credit cards.

Investment management services are offered on an ongoing basis, with no account minimums. Portfolios will be constructed primarily with exchange-traded funds (ETFs), and around a strategic asset allocation that will be tailored to each client's particular investment goals and risk tolerance, as agreed to in the investment policy statement. ATI Wealth Partners will have clients sign an authorization form giving us discretion over trading in the portfolio.

Our goal in managing investments is to mitigate potential drawdowns (losses) in the portfolio. Generally, this will be accomplished by moving to a more defensive position (e.g. selling some equity positions and buying cash and/or fixed income positions) if the index representing an asset class falls below its 200-day moving average.

Investment portfolios may also include a discretionary tactical overlay, when appropriate to investment goals and risk tolerance of the client, where ATI Wealth Partners will be choosing investments based on expected return over shorter time periods of less than one year. The client is free to choose not to have this tactical overlay included as part of their investments.

Tailored Relationships

ATI Wealth Partners believes that personalization of financial planning and investment management services is integral to their successful implementation, because each client's situation is unique.

From the initial consultation through follow up data gathering meetings to implementation of the finalized financial plan created with feedback from the client, planning services are tailored to the individual client's objectives, goals, desires, and personal financial situation. Prior to engaging in any planning services, clients will enter into an advisory agreement with ATI Wealth Partners which describes the scope of the engagement, services to be provided, and terms and conditions of the engagement.

For investment management clients, investment policy statements are created that reflect the individual goal and risk tolerance preferences of that client. Clients may impose restrictions on investing in certain securities, types of securities, or asset classes.

Any agreement, either of planning services or investment management services, may not be assigned without written client consent.

Wrap Fee Program Participation

ATI Wealth Partners does not participate in or sponsor wrap fee programs.

Assets

As of January 1, 2021, ATI Wealth Partners has \$9,160,000 in assets under discretionary management for 45 clients.

Item 5. Fees and Compensation

Fee Structure

ATI Wealth Partners is a fee-only firm that does not receive commissions, trails, referral fees, or other compensation for the products or services which we recommend to clients. Fees for financial planning services may vary based on the scope of the engagement, and fees for investment management services are charged as a percentage of assets under management.

Financial Planning

Comprehensive financial planning services cost \$1,800. This amount is invoiced to the client upon entering into an advisory agreement with ATI Wealth Partners. Payment in full is expected upon invoicing via ACH transfer, check, or cash app (Zelle).

Should the client terminate the advisory agreement with ATI Wealth Partners before the finalized financial plan is delivered, they will be entitled to a pro-rata refund of the initial fee paid, based on how many hours of work ATI Wealth Partners has done on the plan at a rate of \$200/hr. For example, if a client decides to terminate the agreement after 5 hours of work by ATI Wealth Partners, they would be entitled to a refund of \$800. ($\$1800 - 5 \text{ hours} @ \$200/\text{hr} = \$800$). It is possible, depending on the complexity of an individual client's financial position, that some comprehensive financial plans may require more or less time to complete. This may result in a higher or lower hourly fee for individual clients than that referenced in the example.

Termination of the advisory agreement within 48 hours of entering into the agreement will result in no fee being charged, or a full refund should the fee have already been paid.

For investment management clients with over \$200,000 in assets with ATI Wealth Partners, comprehensive financial planning services will be included as part of the investment management fee.

Limited-scope planning services start at \$350 for a one-subject review and could cost more depending on the scope of the services requested. Total cost will be agreed upon with the client before execution of an advisory agreement. The client will be invoiced the total cost upon execution of the advisory agreement, and payment is expected in full when invoiced via ACH transfer, check, or cash app (Zelle).

Investment Management

Investment management fees are 1% of assets managed up to \$1,000,000. Fees are negotiable for investable assets greater than \$1,000,000.

In addition to our investment management fees, clients are responsible for the fees and expenses charged by custodians and imposed by broker-dealers, including, but not limited to: any transaction charges (commissions) as well as the expense ratios charged by the ETF securities within the portfolios. See *Item 12. Brokerage Practices* for more information.

Investment management fees are billed quarterly in arrears, based on the market value of the portfolio, with payment in full expected upon billing. Fees may sometimes be deducted from a designated client account in order to facilitate payment. Should this be the case, ATI Wealth Partners will require written authorization from the client to deduct advisory fees from an account held by a qualified custodian. ATI Wealth will notify the custodian of the amount to be deducted while simultaneously providing the client with an invoice showing the formula used to calculate the advisory fee and the time period covered. When not deducted from a designated account, fees may be paid via ACH transfer, check, or cash app (Zelle).

Termination of an investment management agreement may be initiated by either party at any time, for any reason. Given that fees are billed in arrears, the final payment due will be calculated on a pro rata basis based on the number of days remaining in the current billing period. It is expected that this payment will be paid in full upon invoicing.

Negotiability of Fees

Fees are generally not negotiable, with the exception of investment management fees for assets under management over \$1,000,000, and certain limited-scope planning engagements that cover multiple subject matter areas.

Assets (\$)	Fee	Planning Services Included
0 - 50,000	1%	None
50,000-200,000	1%	Limited-scope planning
200,000-1,000,000	1%	Comprehensive planning
>1,000,000	negotiable	Comprehensive planning

Limited Prepayment of Fees

ATI Wealth may require payment of financial planning fees upon commencement of a financial planning engagement, but does not ever require or solicit payment of any fees more than six months in advance of services provided.

Item 6. Performance-Based Fees and Side-by-Side Management

ATI Wealth Partners does not charge performance-based fees.

Item 7. Types of Clients

ATI Wealth Partners services the following types of clients via financial planning and/or investment management agreements:

- Individuals
- Small Businesses
- Trusts, Estates, and Charitable Organizations

There is no minimum account size for either financial planning or investment management services with ATI Wealth Partners.

Item 8. Methods of Analysis, Investment Strategies, and Risk of Loss

Methods of Analysis

ATI Wealth Partners uses a combination of fundamental and technical analyses to choose our investment positions.

We look at fundamentals on a macro (asset class or sector) level rather than for individual securities. These fundamental factors include valuation metrics (such as price to earnings ratios), quality metrics (such as debt to equity ratios and debt service ratios), and general factors indicating overall health of an economy or particular industry (such as inventories, new orders, purchasing manager indices, manufacturing surveys, jobless claims, etc.)

Our primary trading strategy within clients' strategic asset allocation portfolios revolves around a technical indicator of momentum, namely the 200-day moving average. In an attempt to limit severe drawdowns to client portfolios, we will generally reduce exposure to an equity asset class that has fallen below its 200-day moving average. Similarly, a move back above the 200-day moving average would trigger increased exposure to that equity asset class. For the tactical overlay trading strategy, we will also look at other technical indicators (such as relative strength, trading volume, Bollinger bands, and support/resistance levels based on charting patterns) to try and identify temporary market dislocations.

ETF analysis

We look at several factors when evaluating ETFs for inclusion either in the strategic asset allocation portfolios or as part of the tactical overlay strategy. The first of these is the index the ETF is designed to track and verifying that the ETF will provide the exposure we are looking for. We also take into consideration size of the ETF, daily trading liquidity, total cost of ownership (expense ratio plus spread plus commission) for the anticipated holding period, and past performance.

Investment Strategies and Risks

Strategic asset allocation

ATI Wealth Partners starts portfolio construction from a long-term asset allocation standpoint. Rather than choosing individual securities, we will typically utilize low-cost, broadly diversified exchange-traded funds (ETFs). The ETFs will be combined across asset classes (including but not limited to: domestic equities, international equities, and fixed income) in such a way as to create a portfolio that is suitable to a client's investment goals, risk tolerance, and time horizon.

It is our expectation that the appropriate composition of a portfolio for given investment goals and risk tolerance preferences will change over time given normal market movements. As such, we will periodically adjust the holdings of a client's portfolio based on the methods of analysis presented above in order to keep the portfolio in-line with the client's stated investment goals and risk tolerance.

In order to minimize turnover and transaction costs within the portfolios, ATI Wealth Partners anticipates that changes to the portfolio based on the 200-day moving average indicator will be made approximately monthly, if needed. One risk of this strategy is that market volatility around the 200-day moving average may cause the client to be exposed to sharp drops below the 200-day moving average or miss out on sharp increases above the 200-day moving average. Please see "Risks, Including Risk of Loss" below for other general risks to this strategy.

Tactical overlay

For certain clients that have a signed agreement with ATI Wealth Partners, we will also employ a shorter-term trading strategy designed to capture what we feel are temporary market dislocations. The expectation for this strategy is that positions will be held for less than a year.

There is no guarantee that securities will appreciate in price even if valuations look attractive. There is a risk that positions taken as part of the tactical overlay will experience high volatility and lose value. More frequent trading may also increase the transaction costs within the portfolio and thereby reduce return. Additionally, any gains generated given the anticipated holding period of less than one year are expected to be subject to higher tax rates (short-term capital gains versus long-term capital gains). Please see "Risks, Including Risk of Loss" below for other general risks to this strategy.

Options Strategy

For certain clients that have a signed agreement with ATI Wealth Partners, we will also employ an options-writing strategy designed to generate income on the portfolio and hedge against downside risk. Options written will be cash-secured puts and covered calls. At our sole discretion, we may allow the options to expire in the money, or we may close out the positions. At no time will any margin or leverage be used in any options strategies for any client account.

Risks, Including Risk of Loss

All securities investments have certain risks, including the risk that you may lose money on your investments. We strive to work with our clients to help them understand their own risk

tolerance preferences and construct a portfolio that is appropriate for their peace of mind. The following are some of the potential risks faced by investors:

Interest-rate Risk: Fluctuations in interest rates may cause investment prices to fluctuate. For example, when interest rates rise, yields on existing bonds become less attractive, causing their market values to decline.

Market Risk: The price of a security, bond, or mutual fund may drop in reaction to tangible and intangible events and conditions. This type of risk is caused by external factors independent of a security's particular underlying circumstances. For example, political, economic and social conditions may trigger market events.

Inflation Risk: When any type of inflation is present, a dollar today will not buy as much as a dollar next year, because purchasing power is eroding at the rate of inflation. There is no guarantee that portfolio returns will keep up with the rate of inflation.

Currency Risk: International investments are subject to fluctuations in the value of the dollar against the currency of the investment's originating country.

Reinvestment Risk: This is the risk that future proceeds from investments may have to be reinvested at a potentially lower rate of return (i.e. interest rate). This primarily relates to fixed income securities.

Business Risk: General risks associated with a particular industry or a particular company within an industry.

Liquidity Risk: Liquidity is the ability to readily convert an investment into cash. From time to time, ATI Wealth Partners may invest in ETFs with limited liquidity on the secondary market in order to achieve a particular investment objective.

Small Company Risk: ATI Wealth Partners expects to invest in small cap companies as part of a diversified asset allocation. Securities of small companies with lower market capitalization may have a higher risk of default and/or loss of principal.

ETF-specific risks: As with all securities, past performance does not guarantee future results. There is no guarantee that the ETFs chosen for investment will perform as expected. There is also a risk that managers of active ETFs may deviate from their stated strategies, potentially making the fund less suitable given a client's risk tolerance.

Item 9. Disciplinary Information

ATI Wealth Partners, as a registered investment advisor, is required to disclose any legal or disciplinary events of the firm or management personnel which would materially affect a client's or prospective client's evaluation of the firm or the integrity of the firm's management.

ATI Wealth Partners and its management personnel have no reportable legal or disciplinary events to disclose.

Item 10. Other Financial Industry Activities and Affiliations

Neither ATI Wealth Partners nor its management personnel have any other financial industry activities or affiliations to report.

Item 11: Code of Ethics, Participation or Interest in Client Transactions, and Personal Trading

Code of Ethics

ATI Wealth Partners has adopted a Code of Ethics that codifies the high ethical standards we expect of our employees as fiduciaries for our clients and ensures compliance with applicable federal and/or state securities laws. The firm also maintains the Code of Ethics and Professional Responsibility adopted by the CFP® Board of Standards Inc.

Our Code of Ethics includes policies covering standards of conduct, confidentiality of client information, personal trading policies, and insider trading prohibitions, as well as oversight, enforcement, and recordkeeping procedures.

We will provide a copy of our Code of Ethics to any existing or prospective client upon request to 312.967.4626 or russ@atiwealthpartners.com.

Interest in Client Transactions and Personal Trading

ATI Wealth Partners intends to manage the investment portfolios of its management personnel using the same strategies employed for its investment management clients. This means that individuals associated with ATI Wealth Partners may buy or sell in their personal accounts the same securities utilized in client portfolios. ATI Wealth Partners and related person(s) may have an interest or position in one or more securities that may be recommended to clients.

As a matter of policy, personal securities transactions may not be completed prior to any pending advisory client transactions. This will ensure there is no preferential benefit to ATI

Wealth Partners or its employees at the expense of any investment management client. In certain cases, trades may be aggregated across multiple client and/or personal accounts. In such cases, ATI Wealth Partners and any related person(s) is prohibited from receiving an execution price better than any advisory client on the transaction.

Item 12. Brokerage Practices

ATI Wealth Partners does not receive any soft dollar benefits or have any soft dollar arrangements.

ATI Wealth Partners does not allow directed brokerage. Transactions are executed through a broker-dealer unaffiliated with ATI Wealth Partners. Brokerage commission schedules are provided to clients upon opening an investment account.

Clients will generally be required to sign an authorization of discretionary authority over their investment accounts to ATI Wealth Partners. They must include any desired limitations to this authority in the written document. Discretionary authority may be amended or revoked by the client at any time, upon receipt by ATI Wealth Partners of such a request in writing.

ATI Wealth Partners may aggregate orders across multiple client accounts in order to facilitate execution of the transaction and potentially achieve a better execution. It is possible that in such circumstances the entirety of the block order is not executed at the same price. If this happens, the securities must be allocated in a manner that does not consistently advantage one client over another. ATI Wealth Partners intends to allocate securities in most cases on a pro rata basis. As stated above, if personal securities accounts of any related person(s) are included in the aggregation, the execution received by the personal accounts cannot be better than the execution received by any individual client.

ATI Wealth Partners participates in the institutional customer program offered by TD Ameritrade Institutional. TD Ameritrade Institutional is a division of TD Ameritrade Inc., member SIPC ("TD Ameritrade"), an unaffiliated SEC-registered broker-dealer and FINRA member. TD Ameritrade offers services to independent investment advisers which include custody of securities, trade execution, clearance, and settlement of transactions.

ATI Wealth Partners participates in TD Ameritrade's institutional customer program, and we may recommend TD Ameritrade to our clients for custody and brokerage services. There is no direct link between our firm's participation in the program and the investment advice we give to our clients, although we receive economic benefits through our participation in the program that are typically not available to TD Ameritrade retail investors. These benefits include the following products and services (provided without cost or at a discount): duplicate client statements confirmations, research related products and tools, consulting services, access to a trading desk serving advisors, access to block trading, the ability to have advisory fees

deducted directly from client accounts, access to an electronic communications network for client order entry and account information, and discounts on compliance, marketing, research, technology, and practice management products or services provided by third party vendors. TD Ameritrade may also pay for business consulting and professional services received by ATI Wealth Partners or its management personnel.

Some of these products and services made available by TD Ameritrade may benefit ATI Wealth Partners but may not benefit our client accounts. These products or services may help in managing and administering client accounts, including accounts not held with TD Ameritrade. Other services made available by TD Ameritrade are intended to help us manage and further develop our business enterprise. Any benefits received by ATI Wealth Partners through participation in the program do not depend on the amount of brokerage transactions directed to TD Ameritrade.

Clients should be aware that the receipt of economic benefits by ATI Wealth Partners or our related persons in and of itself creates a potential conflict of interest and may indirectly influence our recommendation of TD Ameritrade for custody and brokerage services.

Item 13. Review of Accounts

Account Review

ATI Wealth Partners, and specifically Russell Robertson, regularly reviews client investment portfolios to ensure continued appropriateness given a client's individual investment goals, risk tolerance, and time horizon. These reviews occur with a minimum frequency of once per month. Other-than-periodic reviews are triggered by client request, a material change to the client's circumstances, or increased market volatility around potential political, economic, or market events.

Reports

ATI Wealth Partners will provide quarterly reports to clients detailing any changes to the strategic asset allocation as potentially triggered by the 200-day moving average indicator.

Monthly written reports are provided to clients detailing holdings, transactions, and performance information. These reports will come directly from the qualified custodian utilized by ATI Wealth Partners (TD Ameritrade Clearing, Inc).

Clients may also have the option of utilizing a secure online portal showing up-to-date information on investment accounts held with the custodian, including assets, allocations, transactions, and performance over customizable time periods.

Item 14. Client Referrals and Other Compensation

ATI Wealth Partners does not accept any monetary compensation or economic benefit from non-client third parties for the advisory services (both planning and investment management) provided to our clients.

ATI Wealth Partners does not use solicitors, nor do we directly or indirectly compensate any non-employee for potential client referrals.

Item 15. Custody

ATI Wealth Partners is deemed in certain jurisdictions to have custody by virtue of the ability to directly deduct fees from client accounts. In such cases, ATI Wealth Partners is not the qualified custodian. All client assets are held by a qualified custodian. Account statements are provided by the qualified custodian directly to clients at the address of record or through an online portal.

Item 16. Investment Discretion

It is expected that the majority of the investment management services provided by ATI Wealth Partners will be provided on a discretionary basis. That is, ATI Wealth Partners will have the ability to determine which securities are bought or sold, the amount of said securities to be bought or sold, and when the securities are bought or sold. Under discretionary authority, ATI Wealth Partners will not typically contact a client prior to placing a trade.

Clients must sign a discretionary authority agreement with ATI Wealth Partners in order for us to have discretionary authority over the investment account(s). Without this signed agreement, client approval must be received before any trade may be placed. The client is free to include any limitations to this discretionary authority in writing in the initial agreement. Such limitations may be changed, and discretionary authority may be revoked, at any time by the client upon provision of written instructions.

Item 17. Voting Client Securities

ATI Wealth Partners does not vote proxies on behalf of clients. Investment management services notwithstanding, clients are exclusively responsible for directing the voting of any solicited proxies. Clients are also responsible for instructing the custodian (TD Ameritrade

Institutional) to forward to the client copies of all proxies and shareholder communications. ATI Wealth Partners does not offer proxy issue consulting services to clients.

Item 18. Financial Information

ATI Wealth Partners does not require or solicit prepayment of any fees more than six months in advance of services rendered and therefore has no required disclosure of financial statements.

ATI Wealth Partners has not been the subject of a bankruptcy petition at any time in the last 10 years.

Item 19. Requirement for State-Registered Advisors

Russell Robertson is the principal executive officer and management person of ATI Wealth Partners, LLC. Information on the formal education and business background for Russell Robertson may be found in the Brochure Supplement (ADV Part 2B).

ATI Wealth Partners is not engaged in any business activities not already disclosed in “Item 10. Other Financial Industry Activities and Affiliations”.

ATI Wealth Partners does not receive any performance-based fees.

ATI Wealth Partners and its management personnel are required to disclose all material facts regarding certain legal or disciplinary events pertaining to arbitration awards or other civil, regulatory, or administrative proceedings in which the firm or its management personnel were found liable, or against whom an award was granted:

- ATI Wealth Partners has not been involved in an award or otherwise found liable in any arbitration claim.
- ATI Wealth Partners has not been involved in an award or otherwise found liable in any civil, self-regulatory organization, or administrative proceeding.

No management person of ATI Wealth Partners has any relationship or arrangement with any issuer of securities.

Form ADV Part 2B: Brochure Supplement

ATI WEALTH PARTNERS, LLC

376 Nelson St. SW, #A1
Atlanta, GA, 30313

www.atiwealthpartners.com

312.967.4626

As of 2/16/2020

Item 1. Cover Page

This brochure supplement provides information about Russell Robertson that supplements the ATI Wealth Partners, LLC brochure. You should have received a copy of that brochure. Please contact us at 312.967.4626, or by email at russ@atiwealthpartners.com if you did not receive ATI Wealth Partners' brochure, or if you have any questions about the contents of this supplement.

Additional information about Russell Robertson is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2. Educational Background and Business Experience

- Date of Birth: 1985
- Education: Russell graduated from Boston University in 2007 *cum laude* with dual degrees in Marine Biology and Earth Science. He went on to get his MBA *cum laude* from Hult International Business School in 2010.
- Business Background: From 2011-2016, Russell was employed by State Street Global Advisors as an ETF wholesaler.
- Russell started ATI Wealth Partners in 2016.
- Professional Designations: Russell Robertson is a CERTIFIED FINANCIAL PLANNER™ professional. The CFP® certification process, administered by CFP Board, identifies to the public that those individuals who have been authorized to use the CFP® certification marks in the U.S. have met rigorous professional standards and have agreed to adhere to the principles of integrity, objectivity, competence, fairness, confidentiality,

professionalism and diligence when dealing with clients. Please see www.cfp.net for more information on the CFP® certification.

Item 3. Disciplinary Information

Advisors are required to report all material facts regarding any legal or disciplinary events that may impact a client's or potential client's ability to evaluate an advisor. Russell Robertson has no legal or disciplinary information to report.

Clients may request and obtain any disciplinary history of ATI Wealth Partners and Russell Robertson from the Massachusetts Secretary of the Commonwealth, Securities Division, reachable at 617.727.3548.

Item 4. Other Business Activities

Russell Robertson is not engaged in any outside business activities.

Item 5. Additional Compensation

Russell Robertson has no additional sources of income or compensation to report.

Item 6. Supervision

Russell Robertson is the sole member of ATI Wealth Partners, LLC. He has supervision of all aspects of the firm's operation. Investment decisions are made by him, in accordance with the investment policy statements signed by each client. He may be reached at 312.967.4626.

Item 7. Requirements for State-Registered Advisors

Russell Robertson has not been involved with any award, nor found liable in any arbitration claim or civil, self-regulatory organization, or administrative proceeding.

Russell Robertson has not been the subject of a bankruptcy petition.